

APPLICATION FOR UNITED STATES LETTERS PATENT

FOR

METHOD AND APPARATUS FOR THREE-DIMENSIONAL WAVELET TRANSFORM

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METHOD AND APPARATUS FOR THREE-DIMENSIONAL WAVELET TRANSFORM

RELATED APPLICATIONS

This patent application is related to U.S. Patent Application Serial No. 09/390,255, titled "Zerotree Encoding of Wavelet Data," filed September 3, 1999, by Acharya et al.; U.S. Patent Application Serial No. 09/723,123, titled "Encoding of Wavelet Transformed Error Data," filed November 27, 2000, by Acharya et al.; and concurrently filed U.S. Patent Application Serial No. 09/867,181, titled "Method and Apparatus for Coding of Wavelet Transformed Coefficients," filed May 29, 2001, by Acharya et al. (~~attorney docket 042390.P11706~~); all of the foregoing assigned to the assignee of the presently claimed subject matter. Concurrently filed U.S. Patent Application Serial No. 09/867,181, titled "Method and Apparatus for Coding of Wavelet Transformed Coefficients," filed May 29, 2001, by Acharya et al. (~~attorney docket 042390.P11706~~) is herein incorporated by reference.

BACKGROUND

This disclosure is related to three dimensional (3D) image and/or video transforms.

3D subband coding, an extension of 2D subband coding, has recently received increased attention due, at least in part, to the following reasons. First, it typically produces less blocking artifacts, which is a common problem with alternate coding methods, such as motion compensation (MC) and discrete cosine transform (DCT) approaches, particularly at low bitrates. Second, unlike MC compression methods, it does not employ a separate motion

estimation stage. Third, it is scalable, both spatially and temporally. The efficiency of the wavelet based codes lies in the applied coding schemes, such as described in an article by Shapiro "Embedded Image Coding Using Zerotrees of Wavelet Coefficients," IEEE Transactions on Signal Processing, Vol. 41, No. 12, pp. 3445-3459, December 1993, for example, which codes wavelet coefficients efficiently.

Unfortunately, the performance of these techniques may be low when dealing with the wavelet coefficients of low energy content. Furthermore, observation indicates that wavelet coefficients generated by a 3D wavelet transform may be of low energy content. For example, a majority of the wavelet transformed coefficient values may be zero or have a value of small magnitude. The standard method makes several passes to code these frames and, in each of these passes, compares the current threshold value with this low-valued coefficients. This may result in a lower compression ratio and coding efficiency. A need, therefore, exists for an approach that at least roughly maintains coding efficiency and compression ratio.

BRIEF DESCRIPTION OF THE DRAWINGS

Subject matter is particularly pointed out and distinctly claimed in the concluding portion of the specification. The claimed subject matter, however, both as to organization and method of operation, together with objects, features, and advantages thereof, may best be understood by reference of the following detailed description when read with the accompanying drawings in which:

FIG. 1 is a schematic diagram showing one level of a 3D wavelet transform with its sub-

blocks;

FIG. 2 is a process flow of one embodiment of a method to implement coding a 3D wavelet transform;

FIG. 3 is a process flow of one embodiment of a method to implement decoding a 3D wavelet transform;

FIG. 4 is a schematic diagram illustrating a parent-child relationship between blocks and sub-blocks for a 3D wavelet transform; and

FIG. 5 is a table illustrating representative results of applying an embodiment of a method of coding a 3D wavelet transform.

DETAILED DESCRIPTION

In the following detailed description, numerous specific details are set forth in order to provide a thorough understanding of the claimed subject matter. However, it will be understood by those skilled in the art that the claimed subject matter may be practiced without these specific details. In other instances, well-known methods, procedures, components and circuits have not been described in detail in order so as not to obscure the claimed subject matter.

A multi-resolution wavelet representation may provide a simple hierarchical framework

for interpreting an image. At different resolutions, the details of an image may generally characterize different physical structures of the scene. A coarse to fine coding approach, for example, may assist in coding of the transformed image by assisting in effective compression. When a similar approach is applied to a video sequence, generating a 3-D transform may be involved. Such a representation may also indicate the different physical structures of the sequence, but, rather than edge information, subblocks may be produced indicating edge movements in time. One embodiment of a procedure to perform a 3-D wavelet transform may be as follows, although, the claimed subject matter is not limited in scope to this particular approach or embodiment. For example, an embodiment of a method of applying a three-dimensional discrete wavelet transformation (DWT) to a plurality of video images may include the following. The plurality of video images may comprise frames, and the frames may comprise rows and columns. In such a method, a plurality of blocks of DWT coefficients may be produced by: respectively and successively filtering along a sequence of frames, a sequence of columns and a sequence of rows of the plurality of video images; after applying each filter operation, subsampling the result of applying the filter operation; and after producing the blocks of DWT coefficients, applying a bit-based conditional coding to embedded zero tree code the DWT coefficients. This is described in more detail below in conjunction with FIG. 2

The input video sequence, here designated V , may be treated as a 3-D block with the different frames arranged substantially according to time position. This sequence, as illustrated in FIG. 2, in this particular embodiment may be fed to two paths, designated P_1 and P_2 in Fig. 2. Along one path, here P_1 , filtering along the time axis may be applied, in this embodiment with filter function $g(n)$. The filtered data, again, in this particular embodiment

may be sub-sampled, here by 2. Thus, in this embodiment, alternative frames of the block may be retained. The frames from this reduced block may be again fed into two paths, here P_3 and P_4 , as illustrated in FIG. 2.

Along one of the paths or sub-paths, such as here P_3 , filtering may be applied along the rows, again with filter function $g(n)$. The filtered data, again, in this particular embodiment may be sub-sampled, here by 2. Here, alternative columns of the matrix or frame may be retained. This reduced matrix may be fed into two paths, P_5 and P_6 as illustrated in FIG. 2.

Along direction P_5 , here, filtering may be applied along the columns with filter function $g(n)$. The filtered data may be sub-sampled by 2. Alternative rows of the matrix may be retained. This may produce a detail signal, D^1 .

Along the other direction, here P_6 , filtering may be applied along the columns with filter function $h(n)$, in this particular embodiment. The filtered data may be sub-sampled by 2, again, for this particular embodiment. Alternative rows of the matrix may be retained. This may produce a detail signal, D^2 .

In the other sub-path, here P_4 , filtering may be applied along the rows with filter function $h(n)$. The filtered data may be sub-sampled by 2. Alternative columns of the matrix may be retained. This reduced matrix may be again split into two paths, P_7 and P_8 in FIG. 2.

In one direction P_7 , filtering may be applied along the columns, here with filter function $g(n)$. The filtered data may be sub-sampled by 2. Here, alternative rows of the matrix may be retained. This may produce a detail signal, D^3 .

In the other direction P_8 , filtering may be applied along the columns, here with filter function $h(n)$. The filtered data may be sub-sampled by 2. Alternative rows of the matrix may be retained. This may produce a detail signal, D^4 .

In the other path, here P_2 , filtering may be applied along the time axis, here with filter function $h(n)$ in this embodiment. The filtered data may be sub-sampled by 2, in this embodiment. Alternative frames of the block may be retained. The frames from this reduced block may be again fed into two paths, P_9 and P_{10} in FIG. 2.

In one sub-path P_9 , filtering may be applied along the rows, with filter function $g(n)$ in this embodiment. The filtered data may be sub-sampled by 2. Thus, alternative columns of the matrix or frame may be retained. This reduced matrix may be again fed into two paths, P_{11} and P_{12} in FIG. 2.

In one direction, here P_{11} , filtering may be applied along the columns, here with filter function $g(n)$. The filtered data may be sub-sampled by 2. Thus, alternative rows of the matrix may be retained. This may produce a detail signal, D^5 .

In the other direction, here P_{12} , filtering may be applied along the columns, here with filter function $h(n)$. The filtered data may be sub-sampled by 2. Thus, alternative rows of the matrix may be retained. This may produce a detail signal, D^6 .

In the other sub-path P_{10} , filtering may be applied along the rows, here using $h(n)$. The filtered data may be sub-sampled by 2. Alternative columns of the matrix may be retained.

This reduced matrix may again be split into two paths, P_{13} and P_{14} in this embodiment.

In one direction, here P_{13} , filtering may be applied along the columns with filter function $g(n)$. The filtered data may be sub-sampled, here by 2. Alternative rows may be retained. This may produce a detail signal, D^7 .

In the other direction P_{14} , filtering may be applied along the columns with filter function $h(n)$ in this embodiment. The filtered data may be sub-sampled by 2. Therefore, alternative rows of the matrix may be retained. This may produce a detail signal, V^7 .

Thus, seven detail subblocks may be extracted that provide the variations of the edge information, eg, horizontal, vertical and diagonal, with time. The other, or eighth, subblock or component, in this embodiment, may be the applied video sequence at a lower resolution, due to low pass filtering, such as by $h(n)$ in this embodiment. Applying compression to produce these blocks, such as described in more detail hereinafter, for example, therefore, may produce 3-D coding.

Observation indicates that wavelet coefficients generated by applying such a 3D wavelet transform, for example, may typically be found to be of low energy content. For example, the majority of the wavelet transformed coefficient values are zeros or values of small magnitude. The standard method typically makes several passes to code these frames and compares the current threshold value with low-valued coefficients. This may result in a lower compression ratio and coding efficiency. Thus, improved results may be obtained by applying a scheme or technique to address low energy content coefficients.

Characteristics of the foregoing embodiment of a 3D wavelet transform may include the following. A discrete 3D-Wavelet transform may decompose an image into seven subbands, one low frequency subband (LLL) and seven high frequency subbands (LLH,LHL,LHH, HLL,HLH, HHL,HHH). The LLL subband may include characteristics of the original image and may be further decomposed in multiple levels. In one example application, illustrated in FIG. 4, for example, the decomposition may be applied to the qcif video for up to 4 levels (dimensions 144*176*x, here x being of size 16 or 32, respectively).

The levels of an example transform are numbered in FIG. 4. The scanning pattern of the coefficients may affect the embedded nature of the transform. The coefficients may be scanned in this particular embodiment in such a way so that no finer level coefficients are scanned before the coarser ones, as indicated in FIG. 4. The parent-child relationship for a zero tree search (ZTR), such as the approach employed in aforementioned concurrently filed patent application serial no. 09/367,781 (~~attorney docket 042390-P11706~~), is given below in FIG. 4. Of course, this is just one example and the claimed subject matter is not limited in scope to this particular ZTR or scanning approach. Also in this particular approach, the LLL band is not part of the coding sequence. For example, a lossless coding method may be applied for its transmission. The starting threshold for the coding, in this embodiment, may be taken as 1. In successive passes, the threshold may be increased by a multiplicative factor greater than one, such as two, for example. The total number of such passes may be given as $\lfloor \log_2(\max) \rfloor + 1$, for such an embodiment, where **max** denotes the maximum value among the magnitudes of the coefficients to be encoded. As in the aforementioned patent application serial no. 09/367,781, (~~attorney docket 042390-P11706~~), a bit-based conditional coding scheme may be applied, although, again, the claimed subject matter is not limited in scope in this respect. In such an approach, however, a bit 1 or 0 is coded, depending on particular

conditions being true or false, as described in the aforementioned patent application.

For decoding and reconstruction, an inverse procedure may be applied, for this embodiment. The decoder, for example, may start decoding a bit stream generated by an encoder to reconstruction the coefficient matrix. Such a decoding scheme is explained in more detail in the aforementioned patent application serial no. ~~09/867,781~~ (attorney docket no. ~~042390-P11706~~), although, again, the claimed subject matter is not limited in scope to this approach. In general, however, some amount of correspondence or association between the approach employed to encode and the approach employed to decode the sequence may typically take place.

For reconstruction or decoding, a technique or approach as described below and shown in Figure 3 may be applied. For example, for one particular embodiment, a method of applying an inverse three-dimensional discrete wavelet transformation (3D IDWT) to a plurality of transformed video image sub-blocks, the sub-blocks comprising transformed frames, and the frames comprising rows and columns, may include the following. The transformed video image sub-blocks may be inverse transformed by: up-sampling the respective sub-blocks by row, column and frame; filtering and combining one or more respective pairs of up-sampled sub-blocks to produce an up-sampled sub-block corresponding to each respective pair; reapplying the previous to any produced up-sampled sub-block pairs until one up-sampled sub-block remains; multiplying the one remaining up-sampled sub-block by eight to produce a block at the next higher resolution.

This approach is described is illustrated with reference to FIG. 3 as follows, although,

the claimed subject matter is not limited in scope to this particular approach. Detail signal D^1 may be up-sampled. For example, a row of zeros may be inserted between adjacent rows. This sub-block may then be filtered along the columns with the filter function $g(n)$. Detail signal D^2 may be up-sampled. For example, a row of zeros may be inserted between adjacent rows. This sub-block may then be filtered along the columns with the filter function $h(n)$. The resulting output signals from applying the foregoing processes to D_1 and D_2 are added, as illustrated in FIG. 3. The resultant sub-block may be up-sampled. For example, a column of zeros may be inserted between adjacent columns. This matrix may then be filtered along the rows with the filter function $g(n)$ to produce interim signals I_1 .

Detail signal D^3 may be up-sampled. For example, a row of zeros may be inserted between rows. This sub-block may then be filtered along the columns with the filter function $g(n)$. Detail signal D^4 may be up-sampled. For example, a row of zeros may be inserted between rows. This sub-block may then be filtered along the columns with the filter function $h(n)$. The resultant output signals from applying the foregoing processes to D_3 and D_4 may be added. The resultant sub-block may be up-sampled. For example, a column of zeros may be inserted between columns. This matrix may then be filtered along the rows with the filter function $h(n)$. The resultant output signals here may be added with interim signals I_1 . The resultant sub-block may be up-sampled. For example, a frame of zeros may be inserted between frames. This matrix may be then filtered along the frames with the filter function $g(n)$ to produce interim signals I_2 .

Detail signal D^5 may be up-sampled. For example, a row of zeros may be inserted between adjacent rows. This sub-block may then be filtered along the columns with the filter function $g(n)$. Detail signal D^6 may be up-sampled. For example, a row of zeros may be

inserted between adjacent rows. This sub-block may then be filtered along the columns with the filter function $h(n)$. The resulting output signals from applying the foregoing processes to D_5 and D_6 may be added, as illustrated in FIG. 3. The resultant sub-block may be up-sampled. For example, a column of zeros may be inserted between adjacent columns. This matrix may then be filtered along the rows with the filter function $g(n)$ to produce interim signals I_3 .

Detail signal D^7 may be up-sampled. For example, a row of zeros may be inserted between rows. This sub-block may then be filtered along the columns with the filter function $g(n)$. Detail signal V^7 may be up-sampled. For example, a row of zeros may be inserted between rows. This sub-block may then be filtered along the columns with the filter function $h(n)$. The resultant output signals may be added. The resultant sub-block may be up-sampled. For example, a column of zeros may be inserted between columns. This matrix may then be filtered along the rows with the filter function $h(n)$. The resultant output signals may be added with interim signals I_3 . The resultant sub-block may be up-sampled. For example, a frame of zeros may be inserted between frames. This matrix may then be filtered along the frames with the filter function $h(n)$. The resultant output signals may be added with interim signals I_2 . The resultant sub-block may be multiplied by 8 to get the sub-matrix to the next level of resolution.

In effect, for reconstruction, an inverse transform procedure may be applied. For example, in this particular embodiment, a bit-based conditional decoding may be applied, as described in the aforementioned patent application. The detail signals, once available, may be combined with the low-resolution image to get the reconstructed image at a higher resolution.

The particular embodiment previously described has been applied on two popular video

sequences: Miss America (moderate motion) and Car sequence (fast motion). The compression performance has been presented in the table in FIG. 5. This table also illustrates that significantly greater compression may be achieved without an appreciable increase in noise.

Although the claimed subject matter is not limited in scope to the particular embodiments described and shown, nonetheless, these embodiments provide a number of potential advantages. An applied 3D wavelet transformation technique has been shown to reduce redundancies in the image sequence by taking advantage of spatial as well as temporal redundancies. No computationally complex motion estimation/compensation technique is employed in this particular embodiment. Likewise, since no motion estimation/compensation based DCT technique is applied, the reconstructed video generally has fewer visually annoying or blocking artifacts. For the most part, the previously described coding scheme is computationally faster and efficiently codes the 3D wavelet transformed coefficients by employing fewer bits. Hence, it improves compression performance. Furthermore, the previously described embodiment may be further modified to achieve greater compression, for example, by dropping initial passes progressively. Furthermore, by applying bit-plane processing, such as described in the aforementioned concurrently filed patent application, with minor modifications to the previously described technique, parallel execution may be employed. Likewise, a bit-plane coding and decoding approach makes such an embodiment of a video coder suitable for a progressive coding environment.

It will, of course, be understood that, although particular embodiments have just been described, the claimed subject matter is not limited in scope to a particular embodiment or implementation. For example, one embodiment may be in hardware, such as implemented to

operate on an integrated circuit chip, for example, whereas another embodiment may be in software. Likewise, an embodiment may be in firmware, or any combination of hardware, software, or firmware, for example. Likewise, although the claimed subject matter is not limited in scope in this respect, one embodiment may comprise an article, such as a storage medium. Such a storage medium, such as, for example, a CD-ROM, or a disk, may have stored thereon instructions, which when executed by a system, such as a computer system or platform, or an imaging or video system, for example, may result in an embodiment of a method in accordance with the claimed subject matter being executed, such as an embodiment of a method of video or image processing, for example, as previously described.

For example, an image or video processing platform or another processing system may include a video or image processing unit, a video or image input/output device and/or memory.

While certain features of the claimed subject matter have been illustrated and described herein, many modifications, substitutions, changes and equivalents will now occur to those skilled in the art. It is, therefore, to be understood that the appended claims are intended to cover all such modifications and changes as fall within the true spirit of the claimed subject matter.